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October 24, 2023

VIA ECF

Honorable Frederic Block
United States District Court Judge
United States District Court, E.D.N.Y.
225 Cadman Plaza East
Brooklyn, New York 11201

Re: ***Oak Fin. Group v. Infinity Q Div. Alpha Fund, et al., No. 1:21-cv-03249-FB-MMH (“Oak Financial”)***

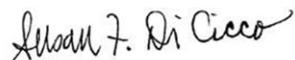
Dear Judge Block:

We represent Defendants Trust for Advised Portfolios (“TAP”) and its officers Christopher E. Kashmerick, Russell B. Simon, and Steven J. Jensen in the above-referenced action.

Earlier this year, we informed the Court that this action and related actions had been stayed by order of Judge P. Kevin Castel. ECF No. 49 (Letter regarding Preliminary Approval of Settlement and Request for Extension); *see SEC v. Infinity Q Diversified Alpha Fund*, Case No. 1:22-cv-09608-PKC (S.D.N.Y.) (ECF No. 15, Consented to Order Appointing Special Master and Imposing Litigation Injunction). The Court thereafter issued an electronic order staying this case and instructing counsel to “file under cover letter a copy of the Special Master’s periodic reports which are ordered in J. Castel’s order.”

A copy of the Special Master’s Second Quarterly Status Report is filed with this letter. We will promptly file in this action future status reports submitted by the Special Master pursuant to Judge Castel’s order.

Respectfully submitted,



Susan F. DiCicco

cc: All counsel of record via ECF in *Oak Financial*

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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

x

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

22 Civ. 09608 (PKC)

v.

INFINITY Q DIVERSIFIED ALPHA FUND,

Defendant.

x

SPECIAL MASTER'S SECOND QUARTERLY STATUS REPORT

The undersigned Special Master, appointed by this Court's order dated January 10, 2023, as amended March 10, 2023 (the "Order"), submits this Second Quarterly Status Report in accordance with paragraph 23 of the Order for the period January 10, 2023 to September 30, 2023 and for the quarter ended September 30, 2023 concerning the Infinity Q Diversified Alpha Fund (the "Fund") and the Special Reserve established within the Fund. The Special Master reports as follows:

1. As of September 30, 2023, the balance of the Special Reserve was \$563,316,247.73.

This sum is that portion of the overall Fund that the Fund's governing board, the Board of Trustees of the Trust for Advised Portfolios ("TAP"), set aside to pay legal defense costs of the Fund and Indemnitees in the pending litigations.

2. In accordance with paragraph 23(A) of the Order, annexed hereto as **Exhibit A** and **Exhibit B** are the Standardized Fund Accounting Reports for the Fund, presented on a cash basis, which have been prepared in the manner prescribed in the SEC's Standardized Fund Accounting Report appended to the SEC's Billing Instructions and

incorporated in paragraph 29(A) of the Order. **Exhibit A** and **Exhibit B** set forth a summary of operations of the Fund, including the Special Reserve, for the periods (i) January 10, 2023 through September 30, 2023, and (ii) the quarter ended September 30, 2023, respectively.

3. In accordance with paragraph 23(B) of the Order, the Special Reserve balances and monthly expenses paid for the period January 1, 2023 through September 30, 2023 were as follows:

Month	Beginning Balance	Expenses Paid	Ending Balance
Jan-23	566,375,657.37	-	566,375,657.37
Feb-23	566,375,657.37	-	566,375,657.37
Mar-23	566,375,657.37	(103,436.66)	566,272,220.71
Apr-23	566,272,220.71	(1,138,359.40)	565,133,861.31
May-23	565,133,861.31	(446,638.92)	564,687,222.39
Jun-23	564,687,222.39	(441,748.99)	564,245,473.40
Jul-23	564,245,473.40	(58,442.58)	564,187,030.82
Aug-23	564,187,030.82	(834,764.27)	563,352,266.55
Sep-23	563,352,266.55	(36,018.82)	563,316,247.73

4. Pursuant to paragraph 23(C) of the Order, **Exhibit A** and **Exhibit B** also include a schedule of all of the Special Master's receipts and disbursements for the periods (i) January 10, 2023 through September 30, 2023, and (ii) for the quarter ended September 30, 2023, respectively.
5. In accordance with paragraph 23(D) of the Order, annexed hereto are **Exhibits C-1, C-2, and C-3**, which set forth accrued expenses and liabilities of the Fund. The Fund's creditors as of September 30, 2023 were:

- Fund service providers:
 - National Financial Services
 - RBC Capital Markets
 - UBS Financial Services

- U.S. Bancorp Fund Services
- Fund attorneys:
 - Morgan Lewis & Bockius LLP
- Indemnitee attorneys (as defined in Exhibit A to the Order):
 - Davis Wright Tremaine LLP (for Quasar Distributors)
 - Duane Morris LLP (for the Independent Trustees)
- Special Litigation Committee (“SLC”) members:
 - Andrew M. Calamari
 - John C. Siciliano
- Special Master
- Finn Dixon & Herling LLP, counsel to the SLC and the Special Master
- Fred Naddaff (consulting expert retained by the SLC)

Exhibit C-1 identifies unpaid invoices of these creditors, excluding partially paid invoices, which are set forth in **Exhibit C-2**. The partially paid invoices principally comprise invoices of the Special Master, the SLC members, and Finn Dixon & Herling LLP that are subject to hold-backs under the Order. **Exhibit C-3** sets forth invoices of Duane Morris for legal services provided to the Independent Trustees for which I have determined that the conditions for payment have not yet been satisfied.

6. In accordance with paragraph 23(E) of the Order, the foregoing updates the information contained in my First Quarterly Status Report dated July 18, 2023. Pursuant to a Stipulation and Order entered on March 30, 2023, my recommendations, if any, for possible amendments to the Plan of Distribution described on page 2 of the Order shall be filed within thirty (30) days of the date of a

decision by New York Supreme Court to approve or reject class action settlements that are presently pending before that court.

Dated: Stamford, Connecticut
October 17, 2023

Respectfully submitted,



Andrew M. Calamari

EXHIBIT A

STANDARDIZED FUND ACCOUNTING REPORT for Infinity Q Diversified Alpha Fund - Cash Basis
 Special Master; Civil Court Docket No. 22 Civ 9608 (PKC)
 Reporting Period 01/10/2023 to 09/30/2023

FUND ACCOUNTING:		Detail	Total
Line 1	Beginning Balance (as of 01/10/2023):		\$ 584,075,168.98
	<i>Increases in Fund Balance:</i>		
Line 2	Business Income		-
Line 3	Cash and Securities		-
Line 4	Interest/Dividend Income - Money Market Dividends		18,700,719.40
Line 5	Business Asset Liquidation		-
Line 6	Personal Asset Liquidation		-
Line 7	Third-Party Litigation Income		-
Line 8	Miscellaneous - Other		-
	Total Funds Available (Lines 1-8):		602,775,888.38
	<i>Decreases in Fund Balance:</i>		
Line 9	Disbursements to Investors		-
Line 10	Disbursements for Special Master Operations		
Line 10a	<i>Disbursements to Special Master or Other Professionals</i>		
	1. Special Master	\$ 37,756.80	
	2. Special Litigation Committee	144,882.33	
	3. Finn Dixon Herling	<u>630,163.88</u>	
	<i>Total Disbursements for Special Master Operations</i>	812,803.01	
Line 10b	<i>Operating Expenses</i>		
	1. National Financial Services	762.74	
	2. Pershing LLC	26,176.51	
	3. RBC Capital Markets LLC	40.35	
	4. Stretto	2,342.50	
	5. UBS Financial Services	11,238.66	
	6. U.S. Bancorp Fund Services	<u>15,114.29</u>	
	<i>Total Operating Expenses</i>	55,675.05	
Line 10c	<i>Personal Asset Expenses</i>		-
Line 10d	<i>Investment Expenses</i>		-
Line 10e	<i>Third-Party Attorney/Litigation Expenses</i>		
	1. Cornerstone	133,309.50	
	2. Davis Wright Tremaine LLP		
	Hunter-Rosenstein	133,788.10	
	Oak Financial Group	273.00	
	Shiavi	318.50	
	Velissaris Government Actions	11,277.00	
	Glenmede Trust	76,361.00	
	Carson Family 2013 Dynasty Trust	231.00	
	Flint Hills Diversified Strategies LP	322.00	
	3. Duane Morris LLP		
	Corporate Advice	34,446.00	
	Class Action Matters	127,653.14	
	SEC Investigation	164,348.98	
	Oak Financial Group	6,645.95	
	Evercore Investment Banking	3,092.55	
	Delaware Derivative Action	16,601.55	
	Special Litigation Committee	50,329.15	
	4. Morgan Lewis & Bockius LLP		
	SEC Investigation	407,382.14	
	Fund Liquidation and Distribution	107,806.61	
	Securities Litigation	549,648.90	
	Insurance Recovery	57,753.50	
	Rowan Derivative Litigation	12,267.95	
	Special Litigation Committee	37,926.61	

	Books and Records Demands	6,633.90	
5.	Morris Nichols Arsh & Tunnell LLP		
	Delaware Derivative Action	4,914.55	
6.	Susman Godfrey LLP		
	Litigation	225,000.00	
7.	Fred Naddaff		
	Expert Opinion	22,600.00	
	Total Third-Party Attorney/Litigation Expenses	2,190,931.58	
Line 10f	Tax Administrator Fees and Bonds	-	
Line 10g	Federal and State Tax Payments	-	
	Total Disbursements for Special Master Operations	3,059,409.64	
Line 11	Disbursements for Distribution Expenses Paid by the Fund:		
Line 11a	Distribution Plan Development Expenses:	-	
Line 11b	Distribution Plan Implementation Expenses:	-	
	Total Disbursements for Distribution Expenses Paid by the Fund	-	
Line 12	Disbursements to Court/Other:		
Line 12a	Investment Expenses/Court Registry Investment System Fees	-	
Line 12b	Federal Tax Payments	-	
	Total Disbursements to Court/Other:	-	
	Total Funds Disbursed (Lines 9-11):	3,059,409.64	
Line 13	Ending Balance (As of 09/30/2023):	\$ 599,716,478.74	
Line 14	Ending Balance of Fund - Net Assets:		
Line 14a	Cash & Cash Equivalents	599,716,478.74	
Line 14b	Investments	-	
Line 14c	Other Assets or Uncleared Funds	-	
	Total Ending Balance of Fund - Net Assets	\$ 599,716,478.74	
	Other Supplemental Information:		
		Detail	Total
Line 15	Report of Items NOT To Be Paid by the Fund:		
	Disbursements for Plan Administration Expenses Not Paid by the Fund:		
Line 15a	Plan Administration Expenses Not Paid by the Fund.	\$	-
Line 15b	Plan Implementation Expenses Not Paid by the Fund:	-	
Line 15c	Tax Administrator Fees & Bonds Not paid by the Fund	-	
	Total Disbursements for Plan Administration Expenses Not Paid by the Fund:	\$	-
Line 16	Disbursements to Court/Other Not Paid by the Fund:		
Line 16a	Investment Expenses/CRIS Fees	-	
Line 16b	Federal Tax Payments	-	
	Total Disbursements to Court/Other Not Paid by the Fund:	-	-
Line 17	DC & State Tax Payments		
Line 18	No. of Claims:		
Line 18a	# of Claims Received This Reporting Period	-	
Line 18b	# of Claims Received Since Inception of Fund	-	
Line 19	No. of Claimants/Investors:		
Line 19a	# of Claimants/Investors Paid This Period	-	
Line 19b	# of Claimants/Investors Paid Since Inception of Func	-	

Special Master:

By:

(signature)

(printed name)

(title)

Date:

(date)

EXHIBIT B

STANDARDIZED FUND ACCOUNTING REPORT for Infinity Q Diversified Alpha Fund - Cash Basis
 Special Master; Civil Court Docket No. 22 Civ 9608 (PKC)
 Reporting Period 07/01/2023 to 09/30/2023

FUND ACCOUNTING:		
	Detail	Total
Line 1	Beginning Balance (as of 07/1/2023):	\$ 593,030,386.50
	<i>Increases in Fund Balance:</i>	
Line 2	Business Income	-
Line 3	Cash and Securities	-
Line 4	Interest/Dividend Income - Money Market Dividends	7,615,317.91
Line 5	Business Asset Liquidation	-
Line 6	Personal Asset Liquidation	-
Line 7	Third-Party Litigation Income	-
Line 8	Miscellaneous - Other	-
	Total Funds Available (Lines 1-8):	600,645,704.41
	<i>Decreases in Fund Balance:</i>	
Line 9	Disbursements to Investors	-
Line 10	Disbursements for Special Master Operations	
<i>Line 10a</i>	<i>Disbursements to Special Master or Other Professionals</i>	
	1. Special Master	\$ 7,875.20
	2. Special Litigation Committee	39,486.72
	3. Finn Dixon Herling	<u>141,097.13</u>
	<i>Total Disbursements for Special Master Operations</i>	188,459.05
<i>Line 10b</i>	<i>Operating Expenses</i>	
	1. National Financial Services	342.31
	2. Pershing LLC	9,017.16
	3. RBC Capital Markets LLC	16.85
	4. Stretto	1,647.50
	5. UBS Financial Services	5,065.34
	6. U.S. Bancorp Fund Services	<u>9,729.88</u>
	<i>Total Operating Expenses</i>	25,819.04
<i>Line 10c</i>	<i>Personal Asset Expenses</i>	-
<i>Line 10d</i>	<i>Investment Expenses</i>	-
<i>Line 10e</i>	<i>Third-Party Attorney/Litigation Expenses</i>	
	1. Cornerstone	5,135.50
	2. Davis Wright Tremaine LLP	
	Hunter-Rosenstein	25,943.60
	Glenmede Trust	38,015.50
	Velissaris Government Actions	1,954.00
	Flint Hills Diversified Strategies LP	136.50
	Carson Family 2013 Dynasty Trust	136.50
	3. Morgan Lewis & Bockius LLP	
	Securities Litigation	200,845.33
	SEC Investigation	172,093.61
	Fund Liquidation and Distribution	4,211.75
	Insurance Recovery	1,676.07
	Special Litigation Committee	8,290.89
	Books and Records Demands	-
	Rowan Derivative Litigation	8,908.33
	4. Susman Godfrey LLP	
	Litigation	225,000.00
	5. Fred Naddaff	
	Expert Opinion	<u>22,600.00</u>
	<i>Total Third-Party Attorney/Litigation Expenses</i>	714,947.58
<i>Line 10f</i>	<i>Tax Administrator Fees and Bonds</i>	-
<i>Line 10g</i>	<i>Federal and State Tax Payments</i>	-
	Total Disbursements for Special Master Operations	929,225.67

Line 11	Disbursements for Distribution Expenses Paid by the Fund:	
Line 11a	<i>Distribution Plan Development Expenses:</i>	-
Line 11b	<i>Distribution Plan Implementation Expenses:</i>	-
Line 12	Total Disbursements for Distribution Expenses Paid by the Fund	-
Disbursements to Court/Other:		
Line 12a	<i>Investment Expenses/Court Registry Investment System Fees</i>	-
Line 12b	<i>Federal Tax Payments</i>	-
Total Disbursements to Court/Other:		-
Total Funds Disbursed (Lines 9-11):		929,225.67
Line 13	Ending Balance (As of 09/30/2023):	\$ 599,716,478.74
Line 14	Ending Balance of Fund - Net Assets:	
Line 14a	<i>Cash & Cash Equivalents</i>	599,716,478.74
Line 14b	<i>Investments</i>	-
Line 14c	<i>Other Assets or Uncleared Funds</i>	-
Total Ending Balance of Fund - Net Assets		\$ 599,716,478.74
Other Supplemental Information:		
		<u>Detail</u>
		<u>Total</u>
Line 15	Report of Items NOT To Be Paid by the Fund:	
Disbursements for Plan Administration Expenses Not Paid by the Fund:		
Line 15a	<i>Plan Administration Expenses Not Paid by the Fund:</i>	-
Line 15b	<i>Plan Implementation Expenses Not Paid by the Fund:</i>	-
Line 15c	<i>Tax Administrator Fees & Bonds Not paid by the Fund</i>	-
Total Disbursements for Plan Administration Expenses Not Paid by the Fund:		-
Line 16	Disbursements to Court/Other Not Paid by the Fund:	
Line 16a	<i>Investment Expenses/CRIS Fees</i>	-
Line 16b	<i>Federal Tax Payments</i>	-
Total Disbursements to Court/Other Not Paid by the Fund:		-
Line 17	DC & State Tax Payments	-
Line 18	No. of Claims:	
Line 18a	<i># of Claims Received This Reporting Period</i>	-
Line 18b	<i># of Claims Received Since Inception of Fund</i>	-
Line 19	No. of Claimants/Investors:	
Line 19a	<i># of Claimants/Investors Paid This Reporting Period</i>	-
Line 19b	<i># of Claimants/Investors Paid Since Inception of Fund</i>	-

Special Master:

By:

(signature)

(printed name)

(title)

Date:

EXHIBIT C-1

Unpaid Expenses

Payee	Description	Inv #	Amount	month
Davis Wright Tremaine	Aug 2023 Hunter-Rosenstein	7024770	661.10	8
Davis Wright Tremaine	Aug 2023 Velissaris Government Actions	7024771	1,010.50	8
Davis Wright Tremaine	Aug 2023 Glenmede Trust	7024772	38,746.50	8
Davis Wright Tremaine	Sept 2023 Glenmede Trust	7031325	15,650.00	9
Davis Wright Tremaine	Sept 2023 Hunter-Rosenstein	7031326	8.10	9
Finn Dixon Herling	Aug 2023 Independent Trustee	173319	5,704.00	8
Finn Dixon Herling	Aug 2023 Special Master	173312	1,196.00	8
Finn Dixon Herling	Aug 2023 Special Master Representation	173446	940.50	8
Finn Dixon Herling	Aug 2023 SLC Representation	173448	77,333.04	8
Finn Dixon Herling	Sept 2023 Independent Trustee	173851	1,840.00	9
Finn Dixon Herling	Sept 2023 Special Master	173853	552.00	9
John Siciliano	Aug 2023 Independent Trustee	23-Aug	10,462.17	8
Morgan Lewis	Aug 2023 SEC Investigation	5272978	21,124.25	8
Morgan Lewis	Aug 2023 Fund Liquidation & Distribution	5272979	5,587.90	8
Morgan Lewis	Aug 2023 Securities Litigation	5272980	16,524.05	8
Morgan Lewis	July 2023 SEC Investigation	5257866	40,782.87	7
Morgan Lewis	July 2023 Fund Liquidation & Distribution	5257867	6,022.67	7
Morgan Lewis	July 2023 Securities Litigation	5257868	5,340.82	7
Morgan Lewis	July 2023 SLC Matters	5257869	119.42	7
Morgan Lewis	June 2023 Fund Liquidation & Distribution	5236636	19,312.42	6
National Financial Svcs	Sept 2023 NTF Servicing Fees	342293	2.90	9
National Financial Svcs	Sept 2023 TF Servicing Fees	342805	80.58	9
RBC	Sept 2023 Servicing Fees	923308522	4.21	9
UBS	Sept 2023 Servicing Fees	923308523	1,284.00	9
U.S. Bancorp Fund Services	August 2023 Expenses	20230831-2437-A	1,719.78	8
		total	<u>272,009.78</u>	

Total accrued

2,414,141.68

EXHIBIT C-2

Partial Paid - Open Balance

Payee	Description	Inv #	Amount	month
Duane Morris	5/26/22 Dated SEC Investigation	2908672	82,599.08	5 SEC
Finn Dixon Herling	January 2023 FDH Representation SM	170376	1,221.00	1
Finn Dixon Herling	January 2023 Independent Trustee	170377	809.60	1
Finn Dixon Herling	January 2023 FDH Representation SLC	170416	19,799.80	1
Finn Dixon Herling	January 2023 Special Master	170446	1,803.20	1
Finn Dixon Herling	February 2023 FDH Representation SLC	170690	14,949.10	2
Finn Dixon Herling	February 2023 FDH Representation SM	170710	2,199.90	2
Finn Dixon Herling	February 2023 Special Master	170719	2,741.60	2
Finn Dixon Herling	February 2023 Independent Trustee	170720	2,336.80	2
Finn Dixon Herling	March 2023 Representation Special Master	171005	2,152.80	3
Finn Dixon Herling	March 2023 Representation SLC	171008	2,005.60	3
Finn Dixon Herling	March 2023 Representation SLC	171280	24,279.50	3
Finn Dixon Herling	March 2023 Representation Special Master	171281	1,758.90	3
Finn Dixon Herling	April 2023 Independent Trustee	171628	1,692.80	4
Finn Dixon Herling	April 2023 Special Master	171630	772.80	4
Finn Dixon Herling	April 2023 Representation SLC	171780	13,823.10	4
Finn Dixon Herling	April 2023 Representation Special Master	171779	234.30	4
Finn Dixon Herling	May 2023 Independent Trustee	172026	1,048.80	5
Finn Dixon Herling	May 2023 Representation SLC	172220	9,695.00	5
Finn Dixon Herling	June 2023 Special Master	172515	717.60	6
Finn Dixon Herling	June 2023 Independent Trustee	172516	3,054.40	6
Finn Dixon Herling	June 2023 SLC Representation	172561	18,183.20	6
Finn Dixon Herling	June 2023 Special Master Representation	172514	171.60	6
Finn Dixon Herling	July 2023 Independent Trustee	173029	165.60	7
Finn Dixon Herling	July 2023 Special Master	173031	1,251.20	7
Finn Dixon Herling	July 2023 Special Master Representation	173032	389.40	7
Finn Dixon Herling	July 2020 SLC Representation	173121	5,670.30	7
Fred Naddaff	Expert Opinion-June 2023	IQ62301	5,650.00	6
John Siciliano	January 2023 Independent Trustee	Jan-23	899.20	1
John Siciliano	February 2023 Independent Trustee	Feb-23	3,440.00	2
John Siciliano	March 2023 Independent Trustee	Mar-23	2,467.20	3
John Siciliano	April 2023 Independent Trustee	Apr-23	1,933.33	4
John Siciliano	May 2023 Independent Trustee	May-23	1,330.88	5
John Siciliano	June 2023 Independent Trustee	Jun-23	3,652.00	6
John Siciliano	July 2023 Independent Trustee	Jul-23	616.00	7
			<u>235,515.59</u>	

EXHIBIT C-3

Payee	Description	Inv #	Amount	Month	Matter
Duane Morris	May 2022 Class Action Matters	2920001	11,689.89	5	CA
Duane Morris	6/29/22 Dated SEC Investigation	2920002	86,214.33	5	SEC
Duane Morris	May 2022 Delaware Derivative Action	2920003	596.00	5	DD
Duane Morris	May 2022 SLC Matters	2920004	2,118.50	5	SLC
Duane Morris	June 2022 Class Action Matters	2928030	8,133.90	6	CA
Duane Morris	7/20/22 Dated SEC Investigation	2928032	31,754.73	6	SEC
Duane Morris	7/20/22 Dated OakFinancial Litigation	2928033	44.00	6	OAK
Duane Morris	June 2022 Delaware Derivative Action	2928034	17.10	6	DD
Duane Morris	June 2022 SLC Matters	2928035	4,991.00	6	SLC
Duane Morris	July 2022 Class Action Matters	2941293	6,171.96	7	CA
Duane Morris	8/30/22 Dated SEC Investigation	2941294	313,408.61	7	SEC
Duane Morris	8/30/22 Dated OakFinancial Litigation	2941297	148.00	7	OAK
Duane Morris	July 2022 Delaware Derivative Action	2941298	48.10	7	DD
Duane Morris	July 2022 SLC Matters	2941300	903.50	7	SLC
Duane Morris	August 2022 Class Action Matters	2951949	23,838.64	8	CA
Duane Morris	9/29/22 Dated SEC Investigation	2951950	25,967.71	8	SEC
Duane Morris	9/29/22 Dated Oak Financial Litigation	2951951	15.00	8	OAK
Duane Morris	August 2022 Delaware Derivative Action	2951952	390.60	8	DD
Duane Morris	September 2022 Class Action Matters	2962622	8,021.80	9	CA
Duane Morris	10/28/22 Dated SEC Investigation	2962623	7,137.22	9	SEC
Duane Morris	September 2022 Delaware Derivative Action	2962625	20,259.20	9	DD
Duane Morris	September 2022 SLC Matters	2962628	25,002.00	9	SLC
Duane Morris	October 2022 Class Action Matters	2974961	5,601.80	10	CA
Duane Morris	11/30/22 Dated SEC Investigation	2974962	8,988.10	10	SEC
Duane Morris	11/30/22 Dated OakFinancial Litigation	2974964	512.60	10	OAK
Duane Morris	11/30/22 Dated Evercore Litigation	2974965	828.00	10	EVER
Duane Morris	October 2022 Delaware Derivative Action	2974966	776.90	10	DD
Duane Morris	October 2022 SLC Matters	2974968	199,318.27	10	SLC
Duane Morris	November 2022 Class Action Matters	2977596	4,863.51	11	CA
Duane Morris	12/6/22 Dated SEC Investigation	2977597	19,656.50	11	SEC
Duane Morris	November 2022 SLC Matters	2977600	117,290.92	11	SLC
Duane Morris	December 2022 Class Action	2991657	19,291.28	12	CA
Duane Morris	December 2022 Delaware Derivative Action	2991658	6,143.90	12	DD
Duane Morris	December 2022 Special Litigation Committee	2991661	201,650.68	12	SLC
Duane Morris	December 2022 Carson Family 2013 Dynasty Trust	2991662	6,631.00	12	CF
Duane Morris	December 2022 Class Action	2991663	5,942.00	12	CA
Duane Morris	December 2022 Class Action	2991664	5,222.50	12	CA
Duane Morris	January 2023 SEC Investigation	2999380	2,823.00	1	SEC
Duane Morris	January 2023 SEC Investigation	2999381	168.50	1	OAK
Duane Morris	January 2023 Class Action A	3002570	8,907.80	1	CA
Duane Morris	January 2023 Class Action	3002577	27,267.20	1	CA
Duane Morris	January 2023 Special Litigation Committee A	3002592	6,433.04	1	SLC
Duane Morris	January 2023 Special Litigation Committee	3002595	4,886.06	1	SLC
Duane Morris	January 2023 Litigation A	3002596	6,282.50	1	CF
Duane Morris	January 2023 Litigation	3002597	1,002.00	1	CF
Duane Morris	January 2023 Litigation A	3002604	6,357.50	1	GLEN
Duane Morris	January 2023 Litigation	3002606	1,167.00	1	GLEN
Duane Morris	January 2023 Litigation A	3002619	6,066.00	1	FH
Duane Morris	January 2023 Litigation	3002622	944.00	1	FH

Duane Morris	February 2023 Class Action	3014594	13,967.80	2 CA
Duane Morris	February 2023 SEC Investigations	3014595	61,421.30	2 SEC
Duane Morris	February 2023 Litigation	3014596	1,800.00	2 EVER
Duane Morris	February 2023 Delaware Derivative Action	3014597	17,306.30	2 DD
Duane Morris	February 2023 Litigation	3014598	9,910.00	2 CF
Duane Morris	February 2023 Litigation	3014599	10,555.00	2 GLEN
Duane Morris	February 2023 Litigation	3014600	9,833.00	2 FH
Duane Morris	February 2023 Special Litigation Committee	3016934	2,962.50	2 SLC
Duane Morris	March 2023 Class Action	3029067	9,478.20	3 CA
Duane Morris	March 2023 SEC Investigations	3029068	107,452.80	3 SEC
Duane Morris	March 2023 Litigation	3029071	225.00	3 EVER
Duane Morris	March 2023 Delaware Derivative Action	3029072	1,384.50	3 DD
Duane Morris	March 2023 Special Litigation Committee	3029073	10,756.27	3 SLC
Duane Morris	March 2023 Litigation	3029074	1,865.50	3 CF
Duane Morris	March 2023 Litigation	3029075	1,444.50	3 GLEN
Duane Morris	March 2023 Litigation	3029076	1,093.50	3 FH
Duane Morris	April 2023 Class Action	3040839	25,367.62	4 CA
Duane Morris	April 2023 SEC Investigation	3040840	35,656.40	4 SEC
Duane Morris	April 2023 Delaware Derivative Action	3040841	3,306.50	4 DD
Duane Morris	April 2023 Special Litigation Committee	3040842	459.70	4 SLC
Duane Morris	April 2023 Litigation	3040843	1,627.50	4 CF
Duane Morris	April 2023 Litigation	3040844	1,710.00	4 GLEN
Duane Morris	April 2023 Litigation	3040845	1,387.50	4 FH
Duane Morris	May 2023 Class Action	3051007	11,880.50	5 CA
Duane Morris	May 2023 SEC Investigation	3051008	175.30	5 SEC
Duane Morris	May 2023 Litigation	3051009	173.00	5 OAK
Duane Morris	May 2023 Delaware Derivative Action	3051010	2,442.00	5 DD
Duane Morris	May 2023 Special Litigation Committee	3051011	78,974.09	5 SLC
Duane Morris	May 2023 Litigation	3051012	990.00	5 CF
Duane Morris	May 2023 Litigation	3051013	1,567.50	5 GLEN
Duane Morris	May 2023 Litigation	3051014	825.00	5 FH
Duane Morris	June 2023 Class Action Matters	3063928	20,281.33	6 CA
Duane Morris	June 2023 SEC Investigation	3063930	5,253.20	6 SEC
Duane Morris	June 2023 Litigation	3063931	1,957.50	6 EVER
Duane Morris	June 2023 Delaware Derivative Action	3063932	71.50	6 DD
Duane Morris	June 2023 Special Litigation Committee	3063933	153,271.56	6 SLC
Duane Morris	June 2023 Litigation	3063934	165.00	6 CF
Duane Morris	June 2023 Litigation	3063936	165.00	6 GLEN
Duane Morris	June 2023 Litigation	3063937	82.50	6 FH
Duane Morris	July 2023 Class Action Matters	3073859	617.50	7 CA
Duane Morris	July 2023 Sec Investigation	3073860	1,961.40	7 SEC
Duane Morris	July 2023 Delaware Derivative Action	3073861	1,107.00	7 DD
Duane Morris	July 2023 Special Litigation Committee	3073862	9,662.76	7 SLC
Duane Morris	July 2023 Litigation	3073863	2,939.00	7 CF
Duane Morris	July 2023 Litigation	3073864	2,926.00	7 GLEN
Duane Morris	July 2023 Litigation	3073865	2,740.50	7 FH
Duane Morris	August 2023 Class Action Matters	3086370	4,222.00	8 CA
Duane Morris	August 2023 Sec Investigation	3086371	3,404.70	8 SEC
Duane Morris	August 2023 Special Litigation Committee	3086372	8,036.23	8 SLC
Duane Morris	August 2023 Litigation	3086373	2,922.00	8 CF

Duane Morris August 2023 Litigation	3086374	2,741.50	8 GLEN
Duane Morris August 2023 Litigation	3086375	<u>2,195.00</u>	8 FH
	Total	<u>1,906,616.31</u>	